

Comparing the Implementation of Different Diabetic Retinopathy Screening Models in Primary Health Care Settings in Northern India: Pragmatic Three-Arm Observational Study

Duggal M^{®*1}, Chauhan A^{*1}, Gupta V^{®1}, Kankaria A^{®2}, Sood N³, Miglani V^{®1}, Tigari B^{®1}, Kaur G¹, Kumar L¹, Naveen M¹, Budhija D¹, Badada SK¹ and Vale L⁴

¹Post Graduate Institute of Medical Education and Research, Chandigarh, India ²All India Institute of Medical Sciences, Bathinda, Punjab, India ³Punjab Health Services; Punjab, India ⁴Population Health Sciences Institute, Newcastle University, England, United Kingdom

*Correspondence: Mona Duggal and Anshul Chauhan, Post Graduate Institute of Medical Education and Research, Chandigarh, India

Received on 26 December 2023; Accepted on 19 April 2024; Published on 30 April 2024

Copyright © 2024 Duggal M, et al. This is an open-access article and is distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Abstract

Introduction: Early screening is recommended to prevent vision impairment (VI) and blindness globally due to diabetic retinopathy (DR). We aim to analyze the factors influencing the implementation of DR screening models in primary healthcare facilities.

Methods: A pragmatic three-arm observational study will be conducted in the district of Mohali in Punjab, India. The study will compare three equal-sized groups with type 2 diabetes mellitus (T2DM) at age 30 and above. The T2DM participants and healthcare providers (HCPs) will be interviewed to identify the barriers to retinal examinations in primary healthcare settings. The study includes a comprehensive economic evaluation to determine the cost-effectiveness of implementing different DR screening models in primary health settings. The present study will use the RE-AIM framework (reach, effectiveness, adoption, implementation, and maintenance), to assess the implementation of two DR screening interventions and the extent of variation in outcomes. Qualitative and quantitative data collected from participants and research team observations will be examined to report on the five RE-AIM domains.

Discussion: A screening program should ensure early detection and referral for DR treatment before it results in irreversible vision loss. However, understanding the problems of accessing DR screening and estimating the cost-effectiveness of such programs in a primary health setting is important before allocating public health resources.

Keywords: diabetic retinopathy screening, artificial intelligence, primary healthcare settings, cost-effectiveness

Citation: Duggal M, Chauhan A, Gupta V, et al. Comparing the implementation of different diabetic retinopathy screening models in primary health care settings in Northern India: pragmatic three-arm observational study. Series Endo Diab Met. 2024;6(1):1-15.

Abbreviations: VI: vision impairment; DR: diabetic retinopathy; T2DM: type 2 diabetes mellitus; HCPs: healthcare providers; DM: diabetes mellitus; PwDM: people with diabetes mellitus; NCDs: noncommunicable diseases; DH: district hospital; CHC: Community Health Centre; DMO: diabetic macular edema; PDR: proliferative diabetic retinopathy; STDR: sight-threatening diabetic retinopathy; AI: artificial intelligence; ML: machine learning; PO: Program Officers; WC: waist circumference; NC: neck circumference; IEC: Institutional Ethics Committee; PGIMER: Postgraduate Institute of Medical Education and Research; CTRI: Clinical Trials Registry-India; ASHAs: accredited social health activists; CHOs: Community Health Officers; FBS: fasting blood sugar; BP: blood pressure, VA: visual acuity, FOV: field of vision; NPDR: non-proliferative diabetic retinopathy; PPV: positive predictive value; NPV: negative predictive value

Introduction

Diabetes mellitus (DM) is a chronic condition emerging as a significant global health concern, with more than half a billion people with diabetes mellitus (PwDM) worldwide [1]. The prevalence of diabetes has shown a consistent upward trend in India, contributing significantly to the overall global burden of the disease [2].

The National Programme for Prevention and Control of Cancer, Diabetes, Cardiovascular Diseases and Stroke (NPCDCS) in India recommends community assessment, screening, referral, and follow-up for selected noncommunicable diseases (NCDs), including diabetes, among all women and men aged 30 years and above. The program enables opportunistic screening for common non-communicable diseases at NCD clinics within the district hospital (DH) and Community Health Centre (CHC) [3]. However, due to the large number of PwDM and restrained logistics, it is challenging to implement a specific screening program for diabetes complications in India [4].

Diabetic retinopathy (DR) has emerged as the most common cause of preventable vision impairment (VI) and blindness on a global scale, representing a significant consequence of diabetes [5, 6]. Diabetic macular edema (DMO) and proliferative diabetic retinopathy (PDR) are the two most common causes of VI in sight-threatening diabetic retinopathy (STDR) [4]. Early screening and prompt referral for treatment is recommended before it progresses into STDR [6]. At least one-fifth of PwDM have some form of DR [7] and, as recommended, should have at least one annual dilated eye examination [8]. However, only one-third of people with diabetes undergo eye examinations [5], and only 60% receive some treatment in India [9].

Different screening modalities (*e.g.*, direct and indirect ophthalmoscopy, stereoscopic seven-field fundus photography, nonmydriatic and mydriatic fundus photography) are used to screen DR [6]. However, seven fields of stereoscopic fundus photography remain the gold standard for DR detection [10]. Meanwhile, a digital fundus camera with a minimum image resolution of 2–3 megapixels has been accepted globally to be sufficient to display a single microaneurysm (an early sign of DR) [11].

The systematic implementation of a comprehensive DR screening program remains limited in numerous countries, including India [11]. This is mainly due to the scarcity of a trained workforce, logistical difficulties due to involved costs, and noncoverage by health insurance [11]. Pandit et al. [12] have suggested that a screening test for DR should possess a minimum specificity of 80% and a sensitivity of 95% compared to a reference standard (human grader). Conversely, other studies have demonstrated a sensitivity of 91.7% (95% CI: 91.3-92.1%) and a specificity of 91.5% (95% CI: 91.2-91.7%) for a DR screening program [13].

The utilization of telemedicine for DR screening has proven cost-effective, particularly for patients residing in rural regions that face constraints in accessing transportation services [14]. Various studies have been conducted in India to assess the effectiveness of different modes of DR screening, particularly hospital-based, community-based, and telemedicine-based screening [15]. However, it is challenging to implement a single DR screening model, given the large number of PwDM to be screened and the scarce resources for a screening program [15]. Artificial intelligence (AI) and machine learning (ML) algorithms for evaluating retinal pictures is a potentially cost-effective approach, considering the scarcity of ophthalmologists and optometrists [16]. The available evidence from India reported that

an AI algorithm produced a sensitivity of 96% and a specificity of 80% in detecting any DR and a sensitivity of 99% and a specificity of 80% in detecting STDR [17].

Despite the supporting evidence of the effectiveness of DR screening programs, there is a significant gap between their widespread implementation, integration into primary healthcare settings, and accessibility of these interventions [18, 19]. The absence of sufficient health literacy and awareness regarding eye examinations and inadequacies in the referral system hinder individuals from accessing and utilizing these screening services [19].

It is also essential to assess the impact of screening programs on vision outcomes to make well-informed decisions on resource allocation toward the most effective screening programs [20]. The implementation outcomes of the screening programs can be assessed at several levels, including the individual, organizational, and population levels [21–23].

The RE-AIM framework assesses Reach (R), Effectiveness (E), Adoption (A), Implementation (I), and Maintenance (M), has emerged as an early outcome-oriented approach to address individual and organizational factors [24]. The RE-AIM planning and evaluation framework is widely used and cited in public health interventions [25, 26]. The RE-AIM framework pragmatically enhances [23, 24] the generalizability and translation of evidence-based interventions into sustained services [24, 27].

Guided by the RE-AIM framework, this protocol paper compares implementing different DR screening models in primary healthcare settings. It explores the stakeholder perspective on accessing DR screening and the cost-effectiveness of the two DR screening strategies.

Study aim and objectives

To assess the feasibility of implementing different DR screening models in primary health care settings in northern India.

The following objectives will meet this aim:

- 1) To assess and compare the feasibility of DR screening models: 1) fundus image capture and grading by nonophthalmologists *vs.* 2) fundus image capture and grading by AI *vs.* 3) standard care in a rural block of Northern India.
- 2) To understand multiple stakeholders' perspectives on the assets and barriers to accessing DR screening in primary healthcare health facilities in the rural block of Northern India.
- 3) To estimate the cost-effectiveness of implementing DR screening models, 1) fundus image capture and grading by non-ophthalmologists, 2) fundus image capture by non-ophthalmologists and grading by AI, and 3) standard care in a rural block of Northern India.

Application of the RE-AIM framework for comparison of two DR screening models

The primary objective of employing the RE-AIM framework is to augment the practicality of interventions, hence facilitating the planning, execution, documentation, and selection of interventions for large-scale implementation. The components of the RE-AIM framework include the following: (1) reach (percentage and representativeness of individuals who receive an intervention; (2) effectiveness (impact of an intervention on outcomes); (3) adoption (proportion and representativeness of settings and providers willing to deliver the intervention); (4) implementation (the extent to which the intervention is implemented as intended); and (5) maintenance (sustainability of the intervention at the individual and setting levels) (Supplementary Figure 1).

• Reach (R)

It explains identifying the population to be reached and benefited by the interventions (PwDM). The recruitment pool will primarily be defined as the total population of all age groups in the villages served by HWCs. In the following step, individuals under age 30 will be excluded [3] to form the screening pool. The screening tool will be defined as

the individual eligible for screening (> 30 years of age). It is essential to consider how many persons participate from those intended or targeted and their characteristics (Supplementary Table 1). The mean age and gender distribution (proportion) for the excluded pool will also be recorded.

Diabetic pool: Those eligible for screening and invited for screening in two arms. Reasons for refusals will be noted, and in-depth interviews for in-depth exploration will be planned with participants.

Effectiveness (E)

The participants will be recruited into two arms to undergo non-ophthalmologist-based DR screening at the HWC in arm I and community settings in arm II, retinal image grading by trained human graders. A 2×2 matrix table will calculate the diagnostic accuracy measures, such as sensitivity and specificity for the HG and AI algorithms in the two study arms. The differential rate of participation or attrition (%) will be measured in terms of PwDM characteristics, or the reason for the dropout will be explored through telephone interviews through a predefined questionnaire (Supplementary Table 1).

Adoption (A)

The issue of participation in an intervention is related to the health system and participant-level factors that determine the adoption of an intervention. The purpose is to assess the adoption of intervention by the PwDM in two arms and the staff involved in implementation (Supplementary Table 1). It also involves understanding barriers to adoption and how the intervention fits organizational priorities and existing workflow from the healthcare providers (HCPs) and Program Officers (PO) perspectives.

Implementation (I)

The implementation within the framework refers to the extent to which intervention agents adhere to an intervention's different components, including the intervention's delivery of intervention and time (Supplementary Table 1).

Cost and time: The cost-effectiveness of the intervention will be described in objective two, and the time involved in delivering the intervention will be recorded through the time flow sheet.

Outcome (s)

Expected outcomes: This screening program was intended to understand the operational challenges in implementing two DR screening approaches. The options considered will generate evidence to design a systematic DR screening program that can increase the cost-effectiveness, scalability, reproducibility, and sustainability for integration into the public health system.

Primary outcome: clinical effectiveness (active screening)

- Proportion of people identified with DR and referred *via* each screening category
- Proportion of people's adherence to the follow-up instructions after the screening
- Maintenance (M)

The maintenance will not be recorded in this study.

Materials and Methods

Study design

A pragmatic three-arm observational study will be conducted in primary healthcare facilities in Punjab, India. This mixed methods approach will evaluate the feasibility and cost-effectiveness of implementing different DR screening models in primary care settings. It will also generate evidence from PwDM and HCPs on barriers to accessing DR screening in public health facilities.

Study setting

The study will compare three equal-sized groups of PwDM to be recruited at primary healthcare facilities [28]. The primary healthcare settings include community settings and HWCs. Arm I will recruit PwDM at the HWC, and arms II and III will recruit PwDM in the community settings (home). The process of screening will vary by individual study arm:

Arm I: Non-ophthalmologist fundus image capture (HWC) and human grading Arm II: Non-ophthalmologist fundus image capture (community) and AI grading Arm III: Standard care, counseling, and referral

Study participants

People with type 2 diabetes mellitus (T2DM) aged 30 years and more will be invited for DR screening in arms I, II, and III.

Sample size

The sample size of the study was calculated based on a binary outcome (DR - yes/no) and equal sample sizes in all groups, assuming alpha = 0.05 (1.96) and power = 0.80 (beta = 0.20) [29].

 $n = [(a+b)^2 (p_1 q_1 + p_2 q_2)]/x^2$

The detection of DR by human grading in a study conducted at Kapurthala (Punjab) was 0.16 (p_1) and (q_1) 0.84 [30]. Based on recently published findings, DR detection by AI was 0.28 (p_2) and (q_2) 0.75 [31]. We used these data to arrive at a sample size of 525. This sample was inflated by 15% to allow for loss to follow-up. Thus, the final sample size is 600 PwDM (200 in each arm).

Inclusion criteria

1) People diagnosed with T2DM, aged 30 years and more, can provide consent and follow study instructions.

Exclusion criteria

- 1) People with no history of T2DM, age less than 30 years, unwilling to provide informed consent
- 2) History of intraocular surgery (other than cataract), injections, or laser for other retinal diseases
- 3) Conjunctivitis, red eye, injury, or any eye inflammation

Preparatory phase

• Study data collection tool

Data capturing tools for quantitative data, in-depth interview guides for qualitative data, and a standardized comprehensive template for cost-effectiveness data will be developed (Supplementary Table 2).

Quantitative questionnaire: Patient-specific data include sociodemographic (age, gender, employment, education), medical history (duration of diabetes, diabetes medication history, family history of diabetes), comprehensive eye examination, and fasting blood sugar (FBS) results. Anthropometric measurements such as height, weight, waist circumference (WC), and neck circumference (NC) will be recorded (Supplementary Table 2).

In-depth interview guide (qualitative): An interview guide will be developed to explore critical stakeholder perspectives and experiences in participant background information and individual and health system barriers to access DR screening (Supplementary Table 2).

Official permissions

Prior permission will be obtained from the state and district health authorities to conduct the study.

Written informed consent will be obtained from the consenting individuals, and the study has obtained its approval from the Institutional Ethics Committee (IEC) of Postgraduate Institute of Medical Education and Research (PGIMER), Chandigarh India, IEC approval no-PGI/IEC/2020/000741. The study will be conducted following the Declaration of Helsinki. The study protocol is registered with the Clinical Trials Registry-India (CTRI). The registration number is CTRI/2022/10/046283.

Study implementation phase

The following activities will be undertaken before the implementation of the final study (Figure 1):

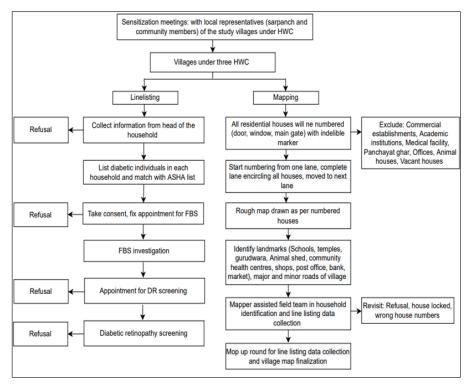


Figure 1: The following activities will be undertaken before the implementation of the final study.

Stakeholder sensitization meetings: Sensitization workshops will be conducted with Medical Officer (MO), community leaders (sarpanch), accredited social health activists (ASHAs), and Community Health Officers (CHOs) to sensitize stakeholders about the activities to be conducted before, during, and after the study. Sensitization workshops will also be organized for MO, community leaders (sarpanch), ASHAs, and CHOs.

Line listing and mapping of the village households: Line listing is a list of households that details the information of the head of the household and the medical history (history of diabetes, hypertension, ocular history) of the family members. A household is a group of people who usually live together and take their meals from the same kitchen (same cooking pot) [32].

Line listing activity includes enumerating all the households in the villages within the selected HWCs. A dedicated team of field investigators, mappers, and field supervisors will collect line listing data on a predesigned form.

Mapping will involve creating a map of the area (village) to facilitate the identification of eligible households during further visits (Figure 1). The mapper will follow the field investigator and mark the unique household number on a prominent house surface (door, window, main gate) with an indelible marker. The mapper will create a map to outline the village, showing individually numbered houses along with prominent landmarks such as animal sheds, boundaries with neighboring villages, minor and major roads, schools, and religious places to serve as landmarks to identify the households during further visits (Figure 1).

Household visit for diabetes status confirmation: The mapper will guide the field team to arrive at the households with at least one member who meets the inclusion criteria. Telephone confirmation will be taken a day before visiting the households for FBS testing. The field team will arrive at the targeted family for FBS before people leave for work and have eaten, and an appointment for the next visit will be fixed. Biomedical waste generated while performing FBS will be disposed of per the guidelines [33]. The study will cover participants who decline FBS but consent to undergo DR screening.

The confirmation of the diabetic status of the patients will be performed by matching one or more of the following criteria:

- 1) Diabetes is self-reported, or there are medical records, a prior clinical diagnosis, or medicine sachets.
- 2) FBS testing (≥126 mg/dL (7.0 mmol/L). Fasting is defined as no caloric intake for at least 8 h) [1]
- 3) HbA1c values ($\geq 6.5\%$ (48 mmol/mol) (within six months) [1]

Invitation for DR screening: An appointment card with the screening date will be given to all the individuals who agree to DR screening. They will be asked to carry the card to the screening center (HWC). A reminder telephone call will be made a day before the prescribed screening date to confirm the participant's attendance. The enrollment of the study participants will be carried out first in arm I, arm II, and arm III consecutively (Figure 2).

Data collection and analysis

Objective 1: comparing DR screening interventions (pragmatic trial)

- Anthropometric measurements: Height, weight, WC, and NC will be measured using digital scales, portable stadiometer, and stretchable tape (Figure 2).
- Blood pressure (BP): Measurements will be recorded in a sitting position, in the suitable arm, to the nearest two mmHg using the mercury sphygmomanometer. Study values will mean two BP readings taken five minutes apart.
- Visual acuity (VA) measurement: Multi-letter Snellen or E chart will record VA (Figure 2).
- Nonmydriatic fundus imaging: A trained non-ophthalmologist will capture two fields (macula and disc) of non-stereoscopic per-eye images at a 45-degree field of vision (FOV). The fundus imaging in arm I participants will be carried out through a tabletop fundus camera, and a handheld camera will be used in arm II participants (Figure 2).

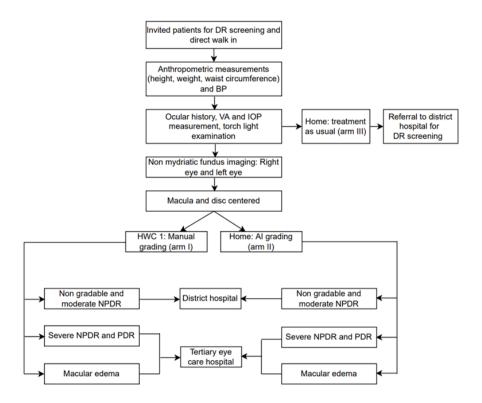


Figure 2: Diabetic retinopathy screening activities.

Retina imaging modalities

Benchtop fundus camera for arm I: The benchtop camera is supported by a laptop that works on MS Windows 10 and above with a 64-bit operating system and an i3 10th generation processing system.

Smartphone-based fundus camera for arm II: This camera can be used as a handheld device or with its mounted stand. This camera is lightweight and portable, with a power backup for screening in community settings.

Diabetic retinopathy grading

Initial grading: point of care

The initial grading (DR-yes/no) of the fundus images on the screening day will be performed by a non-ophthalmologist in arm I and an offline AI algorithm in arm II.

Final grading

The fundus images from the tabletop and handheld cameras will be uploaded to the cloud with access to the grading personnel only.

The International Council of Ophthalmology (ICO) guidelines for DR grading will be used to assess all fundus photographs [6]. Images will be analyzed for the following attributes: a) image gradability: "gradable" or "non-gradable," b) presence of DR: "yes" and "no," c) grading of DR: "mild non-proliferative diabetic retinopathy (NPDR)," "moderate NPDR," "severe NPDR," and "PDR," and d) referral status: "yes" or "no".

A certified optometrist and a retina-trained ophthalmologist will grade all the images separately, and a senior retina specialist will resolve any disagreements on the DR grading results. The patient will be requested to wait a week until

the fundus images are finally graded by a retina-trained ophthalmologist (mild NPDR, moderate NPDR, severe NPDR, and PDR). The final reports will be shared with the patients over the WhatsApp platform or through the network of ASHA workers within eight days of the screening [34].

Referral recommendations

It is recommended that no DR and mild DR patients be counseled to visit a DH (secondary care) for a review within one year. The cases with moderate NPDR and ungradable images will be referred to the DH for further review by an ophthalmologist [35]. Severe NPDR, PDR, and macular edema will be referred to a tertiary eye institute for further evaluation and management within two weeks [34].

The diabetic patients in arm III will undergo anthropometric measurement, VA measurement, and nonmydriatic fundus imaging. The patients will be counseled on diabetes risk factors, treatment, and long-term complications. All participants in arm III will be advised to visit the DH, irrespective of DR (yes/no), for further review by an ophthalmologist. Disclosure of fundus image results will be limited to those diagnosed with severe NPDR and PDR. These individuals will be advised to seek care at a tertiary care institute. All individuals included in arm III will be contacted telephonically one month later to gather information regarding their compliance with the referral recommendations. The reasons for not visiting and the diagnosis of those who visited will be recorded (Figure 3).

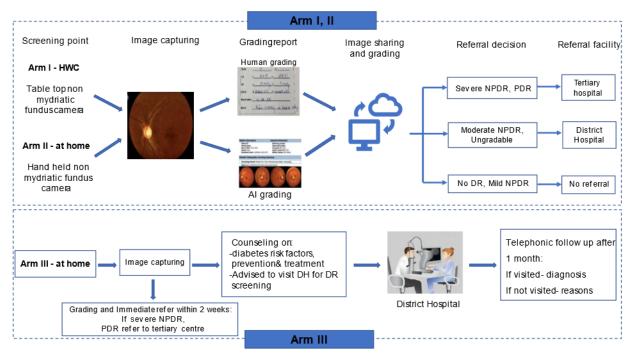


Figure 3: Screening pathway in study arms.

Data entry

All the participant data will be entered into REDCap [36], exported in XLS format, cleaned, and then imported into and analyzed using Stata Version 15 SE [37]. A unique identification number will anonymize the identity of each participant. REDCap is browser-based, metadata-driven EDC software for designing research databases [36]. It is a secure web-based application for building and managing online surveys and databases, and the data are encrypted and password-protected through the https protocol [36]. A secure login and password will be used for data extraction.

Data analysis

Appropriate quantitative analyses will include descriptive statistics. Histograms will be viewed to assess the normality of continuous variables. Summary statistics will be presented as frequencies (percentages) for categorical variables and means (standard deviations) for continuous variables that are usually distributed; otherwise, medians and interquartile ranges will be reported. The level of agreement between the human graders and AI will be analyzed by calculating Cohen's kappa coefficient statistic. The AI platform's sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) for DR detection (yes/no) will be computed using 2×2 tables. A P value < 0.05 will be considered statistically significant.

Objective 2: barriers to DR screening

The stakeholder groups will be organized within two main categories, focusing on using evidence to inform health practice. The providers will include retina specialists, ophthalmologists, optometrists, MO, ASHA collectively called HCPs, and the T2DM participants (Table 1).

Category	Key informant	Examples	Number of
			interviews
Health care providers	Clinicians	Healthcare professionals (ophthalmologist, medical officer)	2–4
	Community health workers	ASHA	2–4
		СНО	2–4
		Optometrist	2–4
Patients	PwDM		12–15

Table 1: Key informants for the in-depth interviews. ASHA: Accredited Social Health Activist; CHO: Community Health Officer; PwDM: Patient with diabetes mellitus.

Conducting in-depth interviews

The interview guide will be designed to probe critical stakeholders' perspectives on DR screening and identify barriers to accessing DR care. Investigating the perspectives of different key stakeholders will ensure that the heterogeneity in views and attitudes is explored. The in-depth interviews will facilitate an understanding of multiple barriers to access to DR care and allow the formulation of approaches to address the gaps. Written consent will be obtained from these key informants. The interviews with PwDM and ASHA will be conducted by a trained qualitative researcher in the local language (Punjabi) and Hindi and in English with the other stakeholders. A researcher will record, transcribe, and translate all the interviews into English. The interviews will be conducted until data saturation is achieved [38, 39]. Data saturation can be explained when no additional data can be used to develop properties of the category [40]. The data sources used are shown in the table (Table 2).

Tool name	Place of data collection	Sequence	
History recording sheet	HWC, at home	On the day of the screening	
The diabetic patient interview guide	HWC, at home	After screening	
Healthcare provider interview guide	Concerned offices, clinics	After screening	
Cost-effectiveness tool	HWC, district hospital, tertiary eye	On the day of screening, after	
	care hospital, community settings	screening	

 Table 2: Data collection tool place and sequence. HWC: health and wellness centre.

Data analysis

The analysis approach will involve 1) transcription, translation, and checking data accuracy with recordings, 2) coding from interview responses, 3) analyzing the remaining responses to include new codes, 4) identifying themes and

subthemes from the final code structure, 5) categorizing codes under themes and subthemes, and 6) interpretation and reporting.

Objective 3: Cost-effectiveness of delivering DR screening interventions

Data for cost-effectiveness analysis will be collected using 1) the standardized comprehensive described above. This form will collect information on direct and indirect healthcare costs involved in DR screening from a health system perspective. The direct cost will include the cost of infrastructure, screening equipment, consultation, and investigations, and these data will be used to estimate the cost of implementing the two proposed DR screening programs (arms I and II).

Our study will estimate the costs of staff, consumables, and reusable equipment associated with each stage of the diagnostic pathway. It will also evaluate the proportion of indirect costs (*e.g.*, power, light, building space) associated with each step of the diagnostic pathway. All the involved costs will be reported in 2021 Indian National Rupees (INR) with cost inflated to this year if necessary, using the Reserve Bank of India (RBI) inflation indices [41].

The costs will be combined with the data on the diagnostic performance to estimate the incremental cost per additional true positive of DR detected for the comparison of human grader diagnosis with AI diagnosis. This will be done using a decision tree model, including deterministic and probabilistic sensitivity analysis. Deterministic sensitivity analysis will be used to explore the impact of alternative assumptions around the derivation of costs. Probabilistic sensitivity analysis will be performed by assigning parameters in the model a statistical distribution and sampling from these distributions to provide distributions around model outputs (costs, diagnostic performance, and cost-effectiveness).

Discussion

This study design is novel due to a limited number of pragmatic trials that compared the implementation of the DR screening intervention at the primary healthcare level using a RE-AIM framework. This study will demonstrate the application of the RE-AIM framework as a guiding tool for strategizing, executing, and documenting DR screening interventions that can be implemented on a large scale within real-world settings.

A DR screening program could ensure that every patient with STDR is detected and referred for treatment before irreversible vision loss. The current strategy of health facility-based opportunistic screening for DR may need to be revised to identify DR, as many people with T2DM do not attend the hospital and hence do not receive an eye examination. In such a situation, community-based and rural outreach DR screening programs may be beneficial in extending care to high-risk groups and PwDM. This, therefore, requires evidence of the costs and performance of alternative ways of organizing DR screening programs. A significant challenge is the need for more infrastructure and human resources to deliver healthcare services in India. The introduction of AI for DR screening may be leveraged to navigate staff shortage issues. In contrast, understanding the local contextual factors related to introducing a DR screening program in primary healthcare settings needs a closer view. In this study, an offline automated algorithm integrated with a fundus camera may provide an efficient and scalable mode of DR screening in India, especially for remote areas.

The study will generate evidence on the delivery of different DR screening implementation strategies, costeffectiveness, and barriers to accessing DR screening in primary health facilities. The findings from the study will demonstrate how tailoring the screening procedures for DR can effectively address the unmet needs of PwDM in primary healthcare settings.

Institutional Review Board Statement

The study was approved by the Postgraduate Institute of Medical Education and Research Institutional Ethics Committee of Chandigarh, India (approval no-PGI/IEC/2020/000741 and 03-07-2020)."

Author Contributions

Chauhan A conceptualized the idea and reviewed the literature. Chauhan A, Duggal M, Vale L, and Kankaria A designed the study. The paper was drafted by Chauhan A and critically revised for important intellectual content by Vale L and Duggal M. The draft version was shared with the authors, and the final version was shared with the journal. All authors have read and approved the manuscript.

Funding

This work is funded by the Indian Council of Medical Research (ICMR) grant number 2020-5770. The funders will have no role in designing the study, execution, analyses, interpretation of the data, or decision to submit results.

Supplementary Material

The supplementary material for this article can be found online at: https://seriesscience.com/wp-content/uploads/2024/04/Supplementary-Material-JSEDM-V1.pdf.

References

- 1. International Diabetes Federation. IDF Atlas 10th edition. 2021.
- Pradeepa R, Mohan V. Epidemiology of type 2 diabetes in India. Indian J Ophthalmol. 2021;69(11):2932-938.
- 3. Ministry of Health and Family Welfare, National Programme for Prevention and Control of Cancer, Diabetes, Cardiovascular Diseases and Stroke. Training Module for Medical Officers for Prevention, Control and Population Level Screening of Hypertension, Diabetes and Common Cancer (Oral, Breast & Cervical). 2017.
- 4. Sivaprasad S, Raman R, Conroy D, et al. The ORNATE India Project: United Kingdom-India Research Collaboration to tackle visual impairment due to diabetic retinopathy. Eye (Lond). 2020;34(7):1279-286.
- 5. Vashist P, Singh S, Gupta N, et al. Role of early screening for diabetic retinopathy in patients with diabetes mellitus: an overview. Indian J Community Med. 2011;36(4):247-52.
- Wong TY, Sun J, Kawasaki R, et al. Guidelines on Diabetic Eye Care: The International Council of Ophthalmology Recommendations for Screening, Follow-up, Referral, and Treatment Based on Resource Settings. Ophthalmology. 2018;125(10):1608-622.
- 7. Rema M, Premkumar S, Anitha B, et al. Prevalence of diabetic retinopathy in urban India: the Chennai Urban Rural Epidemiology Study (CURES) eye study, I. Invest Ophthalmol Vis Sci. 2005;46(7):2328-33.
- Flaxel CJ, Adelman RA, Bailey ST, et al. Diabetic Retinopathy Preferred Practice Pattern®. Ophthalmology. 2020;127(1):P66-145.
- 9. Vaziri K, Moshfeghi DM, Moshfeghi AA. Feasibility of telemedicine in detecting diabetic retinopathy and age-related macular degeneration. Semin Ophthalmol. 2015;30(2):81-95.
- 10. Patz A, Smith RE. The ETDRS and Diabetes 2000. Ophthalmology. 1991;98(5 Suppl):739-40.

- 11. Fenner BJ, Wong RLM, Lam WC, et al. Advances in Retinal Imaging and Applications in Diabetic Retinopathy Screening: A Review. Ophthalmol Ther. 2018;7(2):333-46.
- 12. Pandit RJ, Taylor R. Quality assurance in screening for sight-threatening diabetic retinopathy. Diabet Med. 2002;19(4):285-91.
- Abràmoff MD, Niemeijer M, Suttorp-Schulten MS, et al. Evaluation of a system for automatic detection of diabetic retinopathy from color fundus photographs in a large population of patients with diabetes. Diabetes Care. 2008;31(2):193-98.
- 14. Avidor D, Loewenstein A, Waisbourd M, et al. Cost-effectiveness of diabetic retinopathy screening programs using telemedicine: a systematic review. Cost Eff Resour Alloc. 2020;18:16.
- 15. Rajalakshmi R, Prathiba V, Rani PK, et al. Various models for diabetic retinopathy screening that can be applied to India. Indian J Ophthalmol. 2021;69(11):2951-958.
- Natarajan S, Jain A, Krishnan R, et al. Diagnostic Accuracy of Community-Based Diabetic Retinopathy Screening With an Offline Artificial Intelligence System on a Smartphone. JAMA Ophthalmol. 2019;137(10):1182-188.
- 17. Dismuke C. Progress in examining cost-effectiveness of AI in diabetic retinopathy screening. Lancet Digit Health. 2020;2(5):e212-e213.
- 18. Yim D, Chandra S, Sondh R, et al. Barriers in establishing systematic diabetic retinopathy screening through telemedicine in low- and middle-income countries. Indian J Ophthalmol. 2021;69(11):2987-992.
- 19. Piyasena MMPN, Murthy GVS, Yip JLY, et al. Systematic review on barriers and enablers for access to diabetic retinopathy screening services in different income settings. PLoS One. 2019;14(4):e0198979.
- 20. Woolf SH. The meaning of translational research and why it matters. JAMA. 2008;299(2):211-13.
- 21. Proctor E, Silmere H, Raghavan R, et al. Outcomes for implementation research: conceptual distinctions, measurement challenges, and research agenda. Adm Policy Ment Health. 2011;38(2):65-76.
- 22. Moullin JC, Dickson KS, Stadnick NA, et al. Systematic review of the Exploration, Preparation, Implementation, Sustainment (EPIS) framework. Implement Sci. 2019;14(1):1.
- 23. Glasgow RE, Riley WT. Pragmatic measures: what they are and why we need them. Am J Prev Med. 2013;45(2):237-43.
- Welch A, Healy G, Straker L, et al. Process evaluation of a workplace-based health promotion and exercise cluster-randomised trial to increase productivity and reduce neck pain in office workers: a RE-AIM approach. BMC Public Health. 2020;20(1):180.
- 25. Skolarus TA, Lehmann T, Tabak RG, et al. Assessing citation networks for dissemination and implementation research frameworks. Implement Sci. 2017;12(1):97.
- 26. Glasgow RE, Vogt TM, Boles SM. Evaluating the public health impact of health promotion interventions: the RE-AIM framework. Am J Public Health. 1999;89(9):1322-327.

- 27. Glasgow RE, Harden SM, Gaglio B, et al. RE-AIM Planning and Evaluation Framework: Adapting to New Science and Practice With a 20-Year Review. Front Public Health. 2019;7:64.
- 28. Ministry of Health and Family Welfare, Government of India. Ayushman Bharat Comprehensive Primary Health Care through Health and Wellness Centers Operational Guidelines. 2018.
- 29. Noordzij M, Tripepi G, Dekker FW, et al. Sample size calculations: basic principles and common pitfalls. Nephrol Dial Transplant. 2010;25(5):1388-393.
- 30. Dr. Rajendra Prasad Centre for Ophthalmic Sciences, AIIMS, New Delhi. National Programme for Control of Blindness &Visual Impairment, Directorate General of Health Services, Ministry of Health & Family Welfare, Government of India, New Delhi (Survey). 2 Key findings for National diabetes and diabetic retinopathy survey among population aged ≥50 years: 2015-2019.
- 31. Sosale B, Aravind SR, Murthy H, et al. Simple, Mobile-based Artificial Intelligence Algorithm in the detection of Diabetic Retinopathy (SMART) study. BMJ Open Diabetes Res Care. 2020;8(1):e000892.
- 32. Socio Economic and Caste Census (SECC). 2023.
- 33. Bhawan P, Nagar A. Revised Guidelines for Common Bio-medical Waste Treatment Facilities i Revised Guidelines for Common Bio-medical Waste Treatment and Disposal Facilities Central pollution control board (Ministry of Environment, Forest and Climate Change). 2016.
- 34. The Royal College of Ophthalmologists. Diabetic Retinopathy Guidelines. 2012.
- Raman R, Ramasamy K, Rajalakshmi R, et al. Diabetic retinopathy screening guidelines in India: All India Ophthalmological Society diabetic retinopathy task force and Vitreoretinal Society of India Consensus Statement. Indian J Ophthalmol. 2021;69(3):678-88.
- Harris PA, Taylor R, Thielke R, et al. Research electronic data capture (REDCap)--a metadata-driven methodology and workflow process for providing translational research informatics support. J Biomed Inform. 2009;42(2):377-81.
- 37. StataCorp (2021). Stata Statistical Software: Release 17. StataCorp.
- Saunders B, Sim J, Kingstone T, et al. Saturation in qualitative research: exploring its conceptualization and operationalization. Qual Quant. 2018;52(4):1893-1907.
- 39. Hennink MM, Kaiser BN, Marconi VC. Code Saturation Versus Meaning Saturation: How Many Interviews Are Enough? Qual Health Res. 2017;27(4):591-608.
- 40. Shenton AK. Strategies for Ensuring Trustworthiness in Qualitative Research Projects. 2004;22(2):63-75.
- Press Information Bureau. Proactive Measures By The Government Brings Inflation Within RBI'S Tolerance Limit. 2023.

To access the full-text version of this article, please scan the QR code:

